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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Atty. Docket No. 05222.00108)

In re Application of:

Edward J. Gottsman

Serial No.: 09/824,355

Filed: April 2, 2001

For: Matrix-Based User Interface and System for  
Creating the Same

Group Art Unit: 2171

Examiner: n/a

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. Copies of the references cited below are enclosed along with a completed Form-1449.

**OTHER PRIOR ART- NON PATENT LITERATURE DOCUMENTS:**

1. [Http://www.smartmoney.com/marketmap/mapPage.cfm?showWeekStats=false;](http://www.smartmoney.com/marketmap/mapPage.cfm?showWeekStats=false;)

12/8/00

Banner & Witcoff, Ltd.  
10 South Wacker Drive  
Chicago, Illinois 60606  
Telephone (312) 715-1000

This Information Disclosure Statement is being filed before the mailing of a first office action. Please charge any fees or credit overpayment associated with this paper to Deposit Account No. 01-0850.

Respectfully submitted,

**BANNER & WITCOFF, LTD.**

Dated: July 3, 2001

By:



Charles L. Miller  
Reg. No. 43,805

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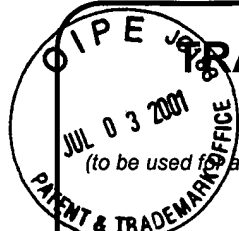
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Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number		09/824,355
Filing Date		04/02/01
First Named Inventor		Gottzman
Group Art Unit		2171
Examiner Name		n/a
Total Number of Pages in This Submission	Attorney Docket Number	
	05222.00108	

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Form PTO-1449 (2 pages) with cited references</b> <b>Return Postcard</b>
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Banner & Witcoff, Ltd. Charles L. Miller
Signature	<i>Charles L. Miller</i>
Date	July 3, 2001

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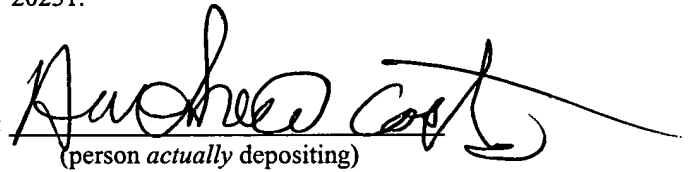
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(Attorney Docket No.5222.00108)

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By:   
(person actually depositing)

In the Application of: Gottzman

Serial No.: 09/824,355

Title: Matrix-Based User Interface and System for Creating the Same

Transmittal Letter (1 page) in duplicate  
Information Disclosure Statement (2 pages)  
Form PTO-1449 (2 pages) with cited references  
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Attorney Docket No.: 5222.00108

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

of

Application Number

**Complete if Known**

09/824.355

Filing Date

04/02/01

First Named Inventor

Gottzman

**Group Art Unit**

2171

Examiner Name

n/a

Attorney Docket Number

05222.00108

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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